TRA	NSMITTAL OF	Docket No. 15904/31153										
	plication Of: W. Kasten	D'3 TOTA LEGIS		, COPY ORIGI	Y OF PAPERS INALLY FILED							
	Serial No.	July Brillin	ng Date	Examiner	Group Art Unit							
	10/081,438	BOTA TRADE V 02/2	22/2002	To be assigned	2161							
Title:				·								
SYST	EM AND METHO	D OF RATING A F	FINANCIAL INVES	STMENT	RECEIVED							
· · · · · · · · · · · · · · · · · · ·			ess to:	JUN 0 6 2002 Technology Center 2100								
			sioner for Patents , D.C. 20231									
			37 CFR	1.97(b)								
,	The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.											
			37 CFR	1.97(c)								
(The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:											
	the statement	ent specified in 37	CFR 1.97(e);									
		OR										
	the fee set	forth in 37 CFR 1.	17(p).	R	ECEIVED							
					JUN 1 9 2002							
				GF	ROUP 3600							
					·							



PTO/-A820 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

		37	CF	-R	1.5	501					Docket Number (Option 15904/31153	Application Number 10/081,438				
INFOR	RMATIC	N	DIS	CL	LO	SUI	RE	C	TATION	Applicant						
IN A PATENT											Gregory W. Kasten					
	(Use s	ever	al si	heet	ts if	nece	ess	ary)		Filing Date 02/22/2002		Group Art Unit				
		_							U.S. PATEN	T D	OCUMENTS			Y-2:		
EXAMINER INITIAL	REF	١,	DOCUMENT NUMBER		R	ISSUE DATE		NAME	CLASS	SUBCLASS	APPRO	DATE IF				
				<u> </u>									0000000	7		
				_	-			┢						-		
					<u>L</u>			<u> </u>				_				
														l		
												1	RECEIV	VED		
		-		-	\vdash	\vdash		├	 			-		T		
					_			<u> </u>					JUN O 6	1002		
												T.	l			
												160	chhology Cei	iter 210	00	
								\vdash			· · · · · · · · · · · · · · · · · · ·			 		
	·		-					⊢								
							_	<u> </u>			T DOOL WIENTO	l	_ 1	I		
		Г							OREIGN PATI	ENI	DOCUMENTS	ſ	1	TRANSI	ATION	
	REF	D	DOCUMENT NUMBER ISSUE DATE						ISSUE DATE		COUNTRY	CLASS	SUBCLASS	YES	NO	
		\vdash												<u> </u>		
					\vdash	-		_								
															ŀ	
		\Box			П	\Box		\vdash								
			<u></u>	uc.			N AC	NT	C (Including A)	ıtho	or, Title, Date, Pertinent P	lagos Etc	\	<u> </u>		
<u> </u>									<u></u>					4		
			Inve	ıÇN I estm	rub! nent	iiciy Res	AVa	allab ch	ole Variables E Vol. II, Issue 1	spia بران	ain Future Mutual Fund P une 1999	errorman	ce, Schwab Cer	iter for		
ŀ		\vdash								, 50				···		
													DEOF	an		
													RECE	1 1 1		
		$\vdash \vdash$	-										JUN 1 9	2000	45	
													2014 1 9	2002		
													ROUP	000		
EXAMINER										D	ATE CONSIDERED			UUU		

Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.